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2 **Title:** Diversity in olfactory receptor repertoires is associated with dietary specialization in a  
3 genus of frugivorous bat

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31 **Abstract**

32 Mammalian *olfactory receptors* (*ORs*) are a diverse family of genes encoding proteins that  
33 directly interact with environmental chemical cues. *ORs* evolve via gene duplication in a birth-  
34 death fashion, neofunctionalizing and pseudogenizing over time. Olfaction is a primary sense  
35 used for food detection in plant-visiting bats, but the relationship between dietary specialization  
36 and *OR* repertoire diversity is unclear. Within neotropical Leaf-nosed bats (Phyllostomidae),  
37 many lineages are plant specialists, and some have a distinct *OR* repertoire compared to  
38 insectivorous species. Yet, whether specialization on particular plant genera is associated with  
39 the evolution of specialized *OR* repertoires with narrower diversity has never been tested. Using  
40 targeted sequence capture, we sequenced the *OR* repertoires of three sympatric species of  
41 short-tailed fruit bats (*Carollia*), which vary in their degree of specialization on the fruits of *Piper*  
42 plants. We characterized orthologous versus duplicated receptors among *Carollia* species, and  
43 explored the diversity and redundancy of the receptor gene repertoire. At the species level, the  
44 most dedicated *Piper* specialist, *Carollia castanea*, had lower *OR* diversity compared to the two  
45 generalists (*C. sowelli*, *C. perspicillata*), but we discovered a few unique sets of *ORs* within *C.*  
46 *castanea* with high redundancy of similar gene duplicates. These unique receptors potentially  
47 enable *C. castanea* to detect *Piper* fruit odorants better than its two congeners. *Carollia*  
48 *perspicillata*, the species with the most generalist diet, had a higher diversity of intact receptors,  
49 suggesting the ability to detect a wider range of odorant molecules. Variation among *ORs* may  
50 be a factor in the coexistence of these sympatric species, facilitating the exploitation of different  
51 plant resources. Our study sheds light on how gene duplication and changes in *OR* diversity  
52 may play a role in dietary adaptations and underlies patterns of ecological interactions between  
53 bats and plants.

54

## 55 Introduction

56 The fitness of an animal is dependent on finding food, locating mates, and avoiding  
57 predation. Because of their relevance to fitness and the ubiquity of chemosensation in animals,  
58 biochemical and cellular mechanisms underlying the sense of smell are excellent targets for  
59 natural selection (Hayden et al. 2010; Niimura 2012; Nikaido et al. 2013). To perceive a scent,  
60 odorant molecules within a chemical bouquet bind to olfactory receptor proteins (*OR*) in a  
61 combinatorial fashion (Malnic et al. 1999; Nara et al. 2011; Kurian et al. 2020), precipitating a  
62 signaling cascade that ultimately transmits the odorant information to the brain. The complexity  
63 of chemical odorant bouquets coupled with both the promiscuity of the ligand-receptor  
64 relationship and the combinatorial neural encoding of olfactory cues contribute to the immense  
65 challenge of identifying ligands and their receptors, and few receptors have been “de-orphaned”  
66 outside of model organisms. Nonetheless, each individual olfactory neuron expresses a unique  
67 *OR* allele; thus, the larger the intact *OR* repertoire, the larger the combination of different  
68 odorants an organism can sense (Rodriguez 2013). This direct interaction with environmental  
69 signals suggests natural selection likely fine tunes *OR* binding motifs to optimally detect  
70 chemical cues relevant to fitness. However, deciphering the connection between *ORs* and the  
71 ecology of animals has proved challenging because *ORs* evolve through paralogous duplication  
72 and the chemical cues necessary to elicit olfactory responses are complex (Yohe and Brand  
73 2018).

74 *ORs*, as well as many other chemosensory receptor genes, evolve in a birth-death  
75 manner, such that genes are constantly duplicating and pseudogenizing through time (Nei and  
76 Rooney 2005). This genetic mechanism of change has led to extraordinary diversity amongst  
77 chemoreceptor genes, making them among the largest and fastest-evolving protein-coding gene  
78 families in the vertebrate genome (Niimura and Nei 2007; Nei et al. 2008; Niimura 2013; Yohe  
79 et al. 2020b). Mammalian *OR* genes, in particular, are ~900bp-long, intronless genes that  
80 encode seven-transmembrane G-protein coupled receptors (Dulac and Axel 1995). In  
81 mammals, counts of intact *OR* gene copies and *OR* pseudogenes can vary by orders of  
82 magnitude (Niimura et al. 2014), from hundreds to thousands. The fate of a gene duplicate  
83 includes several potential paths (Hahn 2009; Teufel et al. 2016; Yohe et al. 2019b). First, the

84 duplicated gene may be completely redundant and not be expressed, and thus it could  
85 accumulate a deleterious mutation that may render it a pseudogene (Eyun 2019). Second, one  
86 of the two copies may be released from purifying selection and accumulate new mutations that  
87 enable new function (Pegueroles et al. 2013). Third, the second copy may have a dosage effect,  
88 such that there is now increased expression of the ancestral single copy (Loehlin and Carroll  
89 2016) and fixation of the same copy of the gene may be advantageous to fitness.

90 Measuring adaptation at the species level in large gene families has proven difficult,  
91 because of the challenges of simultaneously tracking both orthology versus paralogy and the  
92 rate of adaptive substitution (Hahn 2009; Han et al. 2009; Yohe et al. 2019b). Here we present a  
93 novel approach to understanding the evolutionary history of *OR* gene duplicates among recently  
94 diverged species. Using unrooted codon model gene trees, we first detect orthologous genes  
95 and associated paralogs and then measure diversity by applying metrics from community  
96 ecology. Ecological diversity statistics have previously been used to summarize nucleotide  
97 diversity at sites in an alignment (Lowry and Atchley 2000) or transcriptome complexity (Holding  
98 et al. 2021). We propose these metrics are also useful to characterize the diversity within  
99 orthologous clusters of genes and recent paralogs, and apply this method to investigate *OR*  
100 diversity and evolution in three sympatric species of short-tailed fruit bats (*Carollia* spp.).

101 *Carollia* is a genus of leaf-nosed bats (Phyllostomidae) that diverged around 12 Ma and  
102 is composed of 8 described species throughout the Neotropics (Shi and Rabosky 2015; Rojas et  
103 al. 2016). The *Carollia* system is ideal for investigating a connection between ecological  
104 specialization and *OR* diversity for two reasons. First, several *Carollia* species can co-occur  
105 while showing divergent diets. The three non-sister sympatric species in our analysis consume  
106 fruits of the genus *Piper*, but the degree of *Piper* specialization varies from *Carollia castanea*  
107 feeding almost exclusively on *Piper* fruits throughout the year, to the diet of *C. perspicillata*  
108 consisting only about 50% of *Piper* fruits and a variety of other plant genera from several  
109 families as well as nectar from flowers and insects occasionally, and the diet of *C. sowelli* falling  
110 between that of the other two species (Fig. 1A; (Fleming 1991; Lopez and Vaughan 2007;  
111 Maynard et al. 2019). Second, behavioral assays have revealed that *Carollia* bats primarily use  
112 their sense of smell to locate fruiting patches and individual fruits, with echolocation used at

113 closer range to pinpoint the target fruit before grabbing it (Thies et al. 1998). *Carollia* also only  
114 seem to perform feeding attempts in the presence of scent cues from *Piper* fruit (Thies et al.  
115 1998; Leiser-Miller et al. 2020). *Piper* scent cues are remarkably diverse with strong signatures  
116 of phylogenetic overdispersion, but some chemical compounds remain conserved even in  
117 paleotropical *Piper* (Salehi et al. 2019; Santana, et al., *in revision*) and several chemical  
118 compounds are associated with the primary diets of particular *Carollia* species (Santana, et al.,  
119 *in revision*). Thus, the reliance of *Carollia* on olfaction to locate *Piper* fruits (and reciprocal  
120 reliance of *Piper* on chemical cues to attract *Carollia* for seed dispersal) makes it likely that  
121 evolution has optimized the *OR* repertoires of each of these bat species for food detection.  
122 Because *C. castanea* primarily need to locate ripe *Piper* fruits, we predict the bouquet of  
123 potential odorant ligands and therefore the diversity of respective receptors might be narrower  
124 than those of *C. perspicillata*, which need to detect not just ligands from *Piper*, but also from the  
125 diversity of other plant foods it consumes. We apply our novel approach of using ecological  
126 metrics of diversity to measure diversity among orthologous and paralogous genes to  
127 investigate how evolution has shaped *OR* repertoires in the context of specialist and generalist  
128 diets.

129

## 130 **Methods**

131 *Sampling and Sequencing.* To test whether specialist and generalist species had distinct  
132 receptor profiles, we sequenced the *ORs* of three *Carollia* species using targeted sequence  
133 capture of probes designed from transcriptomic data. Samples were collected at La Selva  
134 Biological Station in Costa Rica during an August 2017 expedition. One male individual of each  
135 of the three *Carollia* species present at La Selva was captured on the evening August 4 2017 at  
136 the same locality within the station (Table S1). Bats were trapped in mist nets and immediately  
137 placed in cloth bags prior to processing. Bats were euthanized using isoflurane and liver  
138 dissections were performed according to published video protocols (Yohe et al. 2019a). Bats  
139 and samples were processed in accordance with Stony Brook University Institutional Animal  
140 Care and Use Committee protocol #448712-3. Samples were collected with Costa Rica  
141 research permit CONAGEBIO #R-041-2017 and samples were exported from Costa Rica in

142 alliance with country guidelines and imported following U.S. Center for Disease Control and  
143 U.S. Fish & Wildlife guidelines (USFW 3-177 2018NY2190224). For the targeted bait capture,  
144 probes were designed from a previously published analysis (Yohe et al. 2020a). Briefly,  
145 chemosensory receptors were identified in the transcriptomes of the main olfactory epithelium in  
146 twelve species of bats and probes were subsequently designed from the diversity of these  
147 receptor transcripts. While targeted bait capture provided optimal *de novo* sequencing of *ORs*  
148 (Yohe et al. 2020a), it is still known to be incomplete, and interpretation of the results should  
149 consider these confounding factors. DNA was extracted from flash-frozen liver tissue stored in  
150 RNA-later using the Qiagen QIAamp DNA Micro kit (Qiagen 56304). DNA quality was assessed  
151 using 260/280 ratios in a nanodrop, and DNA was quantified using a Qubit. DNA extractions  
152 were sent to Arbor Biosciences (Ann Arbor, MI) where the chemoreceptor probes enriched for  
153 *ORs*. Amplified targets were sequenced using Illumina HiSeq sequencing technology with 100-  
154 bp paired-end reads by Arbor Biosciences (Ann Arbor, MI).

155  
156 *Quality control and assembly.* All sequence bait capture assemblies were performed using  
157 previously published methods optimized for large multigene families (Yohe et al. 2020a). Briefly,  
158 raw paired end reads were trimmed using the bbdduk.sh script in the BBTools genomic tools  
159 suite, in which regions with a quality score of less than 10 were trimmed. Using the bait designs  
160 as guides for assembling the raw reads, we implemented the reads\_first.py in the HybPiper  
161 toolkit (Johnson et al. 2016). Each lane was assembled individually, then resulting receptors  
162 were pooled, and duplicates were removed.

163  
164 *Olfactory receptor annotation.* In both the transcriptome assembly output and cleaned targeted  
165 bait capture output, contigs were run through the Olfactory Receptor Assigner v. 1.9.1, in which  
166 *ORs* were binned into respective subfamilies (Hayden et al. 2010). Pseudogenes were  
167 determined as open reading frames disrupted by a frameshift or premature stop codon  
168 mutation, or sequences less than 650bp that would prevent a complete seven-transmembrane  
169 domain from being translated. Exact duplicates and pseudogenes were removed from the  
170 analysis.

171  
172 *Alignment and gene tree inference:* Each subfamily of intact receptors was aligned using the  
173 transAlign (Bininda-Emonds 2005) option in Geneious v. 10.2.3 (Kearse et al. 2012) with  
174 MAFFT v. 7.388 (Kato and Standley 2013) and the FFT-NS-2 algorithm for the protein  
175 alignment. The human adenosine A2b receptor, an ancestral G-protein-coupled receptor gene,  
176 was included in each alignment in order to root the gene trees (NM\_000676.2), as suggested  
177 from previous publications on mammalian *ORs* (Niimura 2013). For model selection and tree  
178 inference, stop codons were removed. Model selection was performed on each alignment using  
179 ModelOMatic v. 1.01 (Whelan et al. 2015), in which 75 amino acid, codon, and nucleotide  
180 evolutionary models were tested. Maximum likelihood tree inference was performed on each  
181 alignment with the estimated best-fit model using IQ-TREE v. 1.6.11 (Nguyen et al. 2015) with  
182 1000 ultrafast bootstrap replicates.

183  
184 *Orthogroup characterization:* To characterize orthologous *OR* genes, as well as associated  
185 duplicates accumulated both prior to (out-paralogs) and after species divergence (in-paralogs),  
186 we used an unrooted phylogenetic assessment of the gene trees for each subfamily  
187 (Ballesteros and Hormiga 2016). For each gene tree, we used the UPhO.py script within UPhO  
188 implemented with Python v. 2.7.15 with the -iP flag to track in-paralogs and minimum number of  
189 species in an orthogroup to 1 (Ballesteros and Hormiga 2016). See Figure 2 for an example of  
190 an inferred orthogroup.

191  
192 *Receptor diversity metrics:* To quantify *OR* gene “diversity”, we used diversity indices commonly  
193 used in community ecology. The diversity of community composition is often assessed with  
194 species abundances (number of individuals per species) at different sites within a community.  
195 These metrics are then used to calculate community diversity. Applying this framework, we  
196 considered each *OR* subfamily as a “community” and each gene orthogroup a “site” within the  
197 community. Instead of measuring abundance as number of individuals per species within a site,  
198 we measured number of genes (duplicates) per species within the orthogroup. We can then



199 calculate Shannon's  $H$ , or the Shannon Entropy, for total *OR* gene repertoires, as well as for  
200 each *OR* gene subfamily,

$$201 \quad H' = - \sum_{i=1}^N [(p_i) * \ln(p_i)],$$

202 where  $p$  is the proportion of genes in an orthogroup for species  $i$  and  $N$  is the total number of  
203 species. Figure 2 provides an example calculation for an orthogroup. Diversity indices were  
204 calculated using the `diversityresult()` function within the `BiodiversityR` v. 2.12.1 (Kindt 2016) in R.  
205 v. 4.0.2 (R Core Team 2020) for each *OR* subfamily. These values are then presented as  
206 means of each  $H'$  for each species or for subfamilies per species. Values of  $H'$  can be  
207 interpreted as an axis of diversity, such that low values of  $H$  suggest more species-level  
208 diversity and high-values of  $H$  suggest more diversity at the genus-level (among *Carollia*  
209 species). All values of  $H'$  are presented in natural log scale.

210 To statistically compare diversity values among species, we performed a  
211 phylogenetically-corrected linear mixed effects model using the `MCMCglmm` v. 2.29 (Hadfield  
212 2010), in which both species and *OR* subfamily were group-specific effects and the phylogenetic  
213 distance among species was measured from an inverted relatedness matrix estimated from a  
214 previously published phylogeny (Rojas et al. 2016). This approach allows direct comparisons of  
215 the marginal posterior distributions of parameter estimates. When 50% intervals around the  
216 median are non-overlapping, notable differences among group coefficients are observed. To  
217 determine a threshold in which exceptional redundancy within an orthogroup exists, we  
218 performed Poisson regression in Bayesian framework, with the number of *OR* genes per  
219 orthogroups as the response and bat species as the covariate with *OR* subfamily as a random  
220 effect. The `MCMCglmm` approach is ideal, as it accounts for exceptional residual variance that  
221 may confound our models through a built-in additive over-dispersion model. Residual variance  
222 that fails to be accounted for the Poisson model may be derived from issues like incomplete  
223 sequencing or gene tree inference error (Hadfield 2019). The threshold of redundancy was  
224 determined through posterior predictive simulation using estimated model parameters and  
225 taking the upper limit of the 95% credible interval of the marginal distribution of predicted  
226 orthogroup abundance. All Bayesian models were run with 5 million iterations thinning every  
227 500 samples and removing the first 1,000 as burn-in.

228

## 229 Results

230 *Olfactory receptor distribution:* For each *Carollia* species, the number of intact *OR* genes are as  
231 follows: *C. castanea* 881, *C. sowelli* 1017, and *C. perspicillata* 1115 (Fig. 1B; Table S2). Figure  
232 1B shows the abundance of ORs within each subfamily for each species. OR1/3/7 and OR5/8/9  
233 show twice the abundance relative to other subfamilies for all species while subfamily OR55,  
234 OR12, and OR14 are represented by fewer paralogs relative to other subfamilies.

235

236 *Alignment and orthogroup inference:* Alignments for each subfamily resulted in lengths ranging  
237 from 1065bp to 1242bp. For every alignment, codon models were the best fit models of  
238 evolution, though the base frequencies varied (Table S3). For all identified gene trees (*e.g.*, Fig.  
239 2), a total of 1019 orthogroups were identified (Fig. 3A). The number of orthogroups per  
240 subfamily are listed in Table S2. Alignments, gene trees, and orthogroup cluster lists are  
241 available in the supplement. Figure 3B indicates the abundance of receptors for each  
242 orthogroup for each OR subfamily, demonstrating how some orthogroups have higher  
243 abundances in some species versus others. Poisson model results found the upper limit of the  
244 posterior simulations to have a mean of 3.24 ( $\pm 0.43$ ), and thus orthogroups with 4 or more  
245 genes represented by the same species were considered outliers (Fig. 3B).

246

247 *Diversity metrics:* *C. perspicillata* had the most diverse *OR* repertoire among the three species  
248 (Fig. 3C;  $H=6.33$ ) and *C. castanea* had the least diverse *OR* repertoire ( $H=6.06$ ), while *C.*  
249 *sowelli* had a diversity that fell in between the other two ( $H=6.22$ ; Fig. 3C). The values of  $H'$   
250 represent the pooled values for the entire *OR* repertoire (not just within *OR* subfamily). After  
251 controlling for phylogeny and subfamily, *C. castanea* had notably lower diversity than *C.*  
252 *perspicillata* (Fig. 3D). Subfamilies OR1/3/7 and OR5/8/9 had exceptionally higher diversity  
253 while OR11 showed notably low diversity (Fig. 3E). Discernable differences in diversity can be  
254 observed in Figure 3E. Among *OR* subfamilies (Fig. 3B, 3D), *C. perspicillata* also consistently  
255 had the most diverse and *C. castanea* the least diverse *OR* repertoire, apart from OR56 (for  
256 which *C. sowelli* was most diverse) and OR11 (for which *C. perspicillata* was the least).

257

## 258 Discussion

259 Ecological specialization is expected to be linked to trait diversity, with generalist species  
260 exhibiting traits that enable access to a wider range of resources. We tested this hypothesis with  
261 three species of closely related neotropical short-tailed fruit bats (*Carollia*) with overlapping  
262 geographic ranges, but with differing degrees of dietary specialization on *Piper* fruits. We  
263 applied a new approach, ecological diversity indices, to examine how the *ORs* of these bats  
264 vary with increasing ecological specialization.

265 Measuring diversity among orthogroups provides deeper evolutionary insight than simply  
266 comparing numbers of genes and may illuminate the evolutionary processes and functions  
267 underlying current diversity in closely related ecologically similar species. For example, *C.*  
268 *perspicillata* technically has more *ORs* in subfamily OR5/8/9 (Fig. 1B), but measures of diversity  
269 are quite similar across the three species (Fig. 2B). In contrast, subfamily OR1/3/7 shows  
270 substantial differences in diversity among the three species (Fig. 2B) even though *C. sowelli*  
271 and *C. perspicillata* have quite similar receptor counts (Fig. 1B). *ORs* are among the fastest  
272 evolving genes in the genome (Yohe et al. 2020b), and their turnover via birth-death evolution  
273 makes it challenging to compare orthologs among species. For example, there have been so  
274 many *OR* gains and losses within rodents that there is less than 70% homology in *ORs* and less  
275 than 20% homology in *vomeronasal type-1* genes (another chemoreceptor gene family)  
276 between mouse and rat (Zhang et al. 2007). The number of receptors only becomes meaningful  
277 in terms of describing the “diversity” of receptors in the repertoire, and increased numbers of  
278 orthogroups may indicate more potential ligands to be perceived. Thus, if a species has more  
279 orthogroups, there are more distinct forms of *ORs* present, and additional paralogs within these  
280 orthogroups reinforce the diversity. However, fewer orthogroups and increased paralogs  
281 suggest redundancy within an orthogroup. This increased redundancy may suggest selection for  
282 retention of similar paralogs, and it potentially has a favorable dosage effect (Teufel et al. 2016;  
283 Yohe et al. 2019b). Tandem gene duplicates are often expressed even greater than twofold,  
284 with dramatically higher activity than other sites in the genome (Loehlin and Carroll 2016). Even  
285 if increased dosage of expression is not observed, selection for duplicate retention and

286 increased redundancy may also be advantageous if the receptor is critical to detecting a food  
287 resource. Olfactory sensory neurons stochastically express a single *OR* gene (Rodriguez 2013;  
288 Monahan and Lomvardas 2015), and multiple tandem copies of a gene of similar function may  
289 increase the probability of expression. In other words, having multiple copies of a similar  
290 receptor may increase its chances of expression. Alternatively, more paralogs may indicate  
291 divergent function. While counterintuitive, functional evidence in primates suggests that  
292 orthologous *ORs* across divergent species are more likely to bind to the same odorant ligand  
293 than paralogs (Adipietro et al. 2012). Given the low levels of codon substitution observed in our  
294 gene trees, however, we predict that paralogs might be more similar in function and thus we  
295 advocate for the dosage effect hypothesis in *Carollia*.

296 We found that the more generalist frugivorous bat species, *C. perspicillata*, has a more  
297 diverse collection of distinct *ORs* compared to the specialist *C. castanea*. To interpret we  
298 assume an increased number of different orthogroups (not number of intact genes) reflects an  
299 increased potential to detect different odorant ligands. For example, during the transition from  
300 specialist to generalist diet in nymphalid butterflies (*Vanessa*), the generalist species expanded  
301 their gustatory receptor repertoire and this increased repertoire size is associated with a more  
302 diverse plant resource use (Suzuki et al. 2018). However, instead of measuring increased gene  
303 birth rates, we measure the result of gene duplicate retention as a function of diversity of  
304 different receptors in the genome. While the former assumes that duplication rates are  
305 deterministic and not stochastic processes, the latter focuses on diversity within orthogroups  
306 may more correctly reflect products of selection. In *Carollia*, because more than 50% of the diet  
307 of *C. perspicillata* relies on a diversity of plant resources outside of the genus *Piper* (Fig. 1A;  
308 e.g., Fleming 1991; Maynard et al. 2019), the number of different compounds this species  
309 needs to detect may be greater than that of the *Carollia* species that primarily consume fruits  
310 within the *Piper* genus. Given the overlapping geographic distributions and dietary niches,  
311 divergent olfactory profiles among these *Carollia* species may optimize for the detection of  
312 different plant resources in a cluttered rainforest community. We propose this mechanism as a  
313 hypothesis that requires further investigation; without a deeper understanding of the plant  
314 volatile bouquets of both *Piper* and other plant species, there is certainly the possibility that the

315 fruit volatiles that *Carollia* detects within the *Piper* genus are just as diverse as those across  
316 other plant families included in the diet of the generalist.

317 While which odorant ligands bind to which *ORs* in bats is completely unknown, our  
318 analyses constitute a major contribution to help isolate clusters of receptors as candidates for  
319 future studies to functionally investigate whether relevant environmental scent cues initiate a  
320 response for these receptors. Because total numbers of intact receptors may be irrelevant to  
321 olfactory function, exceptional retention of recent gene duplicates and orthogroups containing  
322 overrepresentation of species-specific in-paralogs may be a more meaningful starting point for  
323 deciphering the ligands for which respective receptors bind. With this approach, instead of  
324 attempting to decode hundreds of receptors, our study has narrowed this down to 10-20 genes  
325 as good experimental candidates. For example, the *Piper* specialist *C. castanea* shows  
326 behavioral preference and attraction to volatile cues of ripened *P. sancti-felicis* fruits (Maynard  
327 et al. 2019; Leiser-Miller et al. 2020). 2-heptanol, for example, shows a strong signature of both  
328 *C. castanea* detection and abundance in *Piper* highly consumed by these bats (Leiser-Miller et  
329 al. 2020; Santana, et al., *in revision*). Thus, a future study may test the hypothesis that receptors  
330 demonstrating exceptional redundancy within *C. castanea* (e.g., such as those found in OR4  
331 (Fig. 2B) or OR10 (Fig. 3)) respond to volatiles of ripened fruits such as 2-heptanol of *P. sancti-*  
332 *felicis* in a biochemical assay.

333 Detecting olfactory adaptation at the molecular level in olfaction remains an open  
334 challenge (Yohe and Brand 2018). Interpretation of our results includes several underlying  
335 assumptions. For example, because *OR* data was generated using targeted bait capture, highly  
336 divergent *ORs* that were not expressed may not have been sequenced. However, our approach  
337 obtained about five times more *OR* genes for *Carollia* than previous studies (Hayden et al.  
338 2014). Past 20% sampling effort estimated that *Carollia perspicillata* would have 954 expected  
339 receptors (Hayden et al. 2014), of which the authors had only sequenced 194. We recovered  
340 1,115 intact receptor genes for this species, which is a reasonably comparable number to the  
341 expected given our completely *de novo* approach. Another caveat includes the difficulty in  
342 deciphering in-paralogs from allelic diversity, of which the latter is likely vastly underestimated  
343 (Yoder and Larsen 2014). Finally, we interpret redundancy within an orthogroup as more

344 dosage, but it is entirely possible that a single amino acid change within a duplicate pair of  
345 receptors may result in different ligand interaction and potentially divergent behavioral  
346 responses with a given odorant. Distinguishing the two in large families continues to be a  
347 confounding issue requiring exceptionally high coverage to characterize read mapping bias of  
348 duplicates and high-quality reference genomes to map flanking regions of duplicate regions,  
349 both outside the scope of this analysis. With these assumptions in mind, our discovery of  
350 inverse patterns of dietary specialization and *OR* diversity may have consequential implications  
351 for understanding how evolution shapes complex and rapidly evolving gene families.

352

### 353 **Data Availability**

354 Raw Illumina sequence reads from targeted sequence capture were deposited to GenBank  
355 Sequence Read Archive under BioProject PRJNA531931, BioSamples SRX11499917-19 and  
356 sequence accessions SRR15193284-86. Alignments, sequence baits, data sets, and R scripts  
357 that reproduce the analyses and figures were deposited into figshare:  
358 <https://doi.org/10.25387/g3.14665179>.

359

360

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372

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## 504 **Figures**

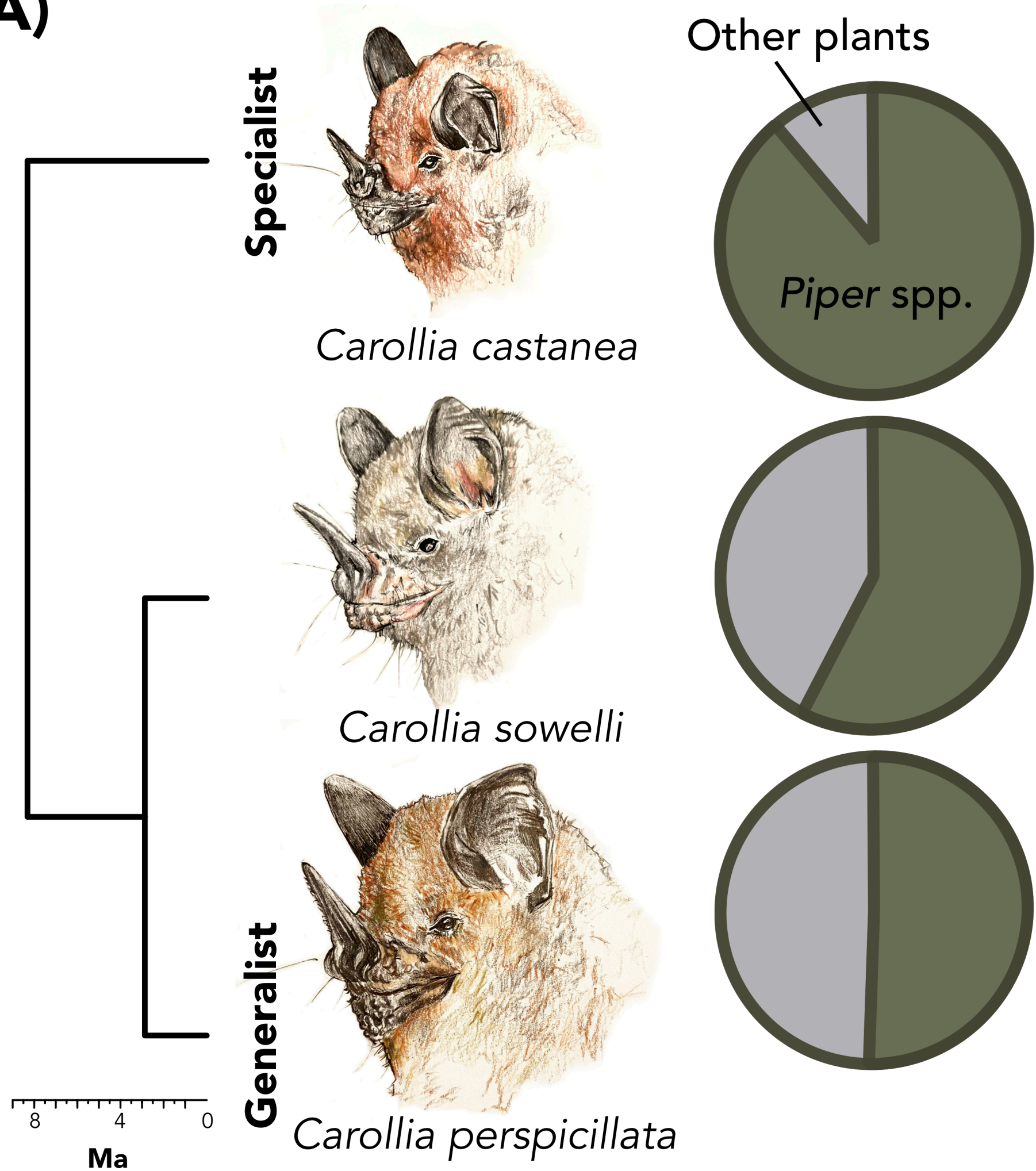
505 **Figure 1.** Target species of study that demonstrate varying degrees of *Piper* reliance. (A)  
506 Proportion of *Piper* species found in diet of each *Carollia* species (based on Fleming (1991);

507 Lopez and Vaughan (2007); and Maynard et al. (2019)). Estimates of 91-98% of the diet of *C.*  
508 *castanea* is *Piper*, while about only 80% for *C. sowellii* and ~50-80% for *C. perspicillata*. (B)  
509 Number of intact *olfactory receptor* (*ORs*) genes from sequence capture analysis within each  
510 subfamily. Illustrations by Christina M. Mauro.

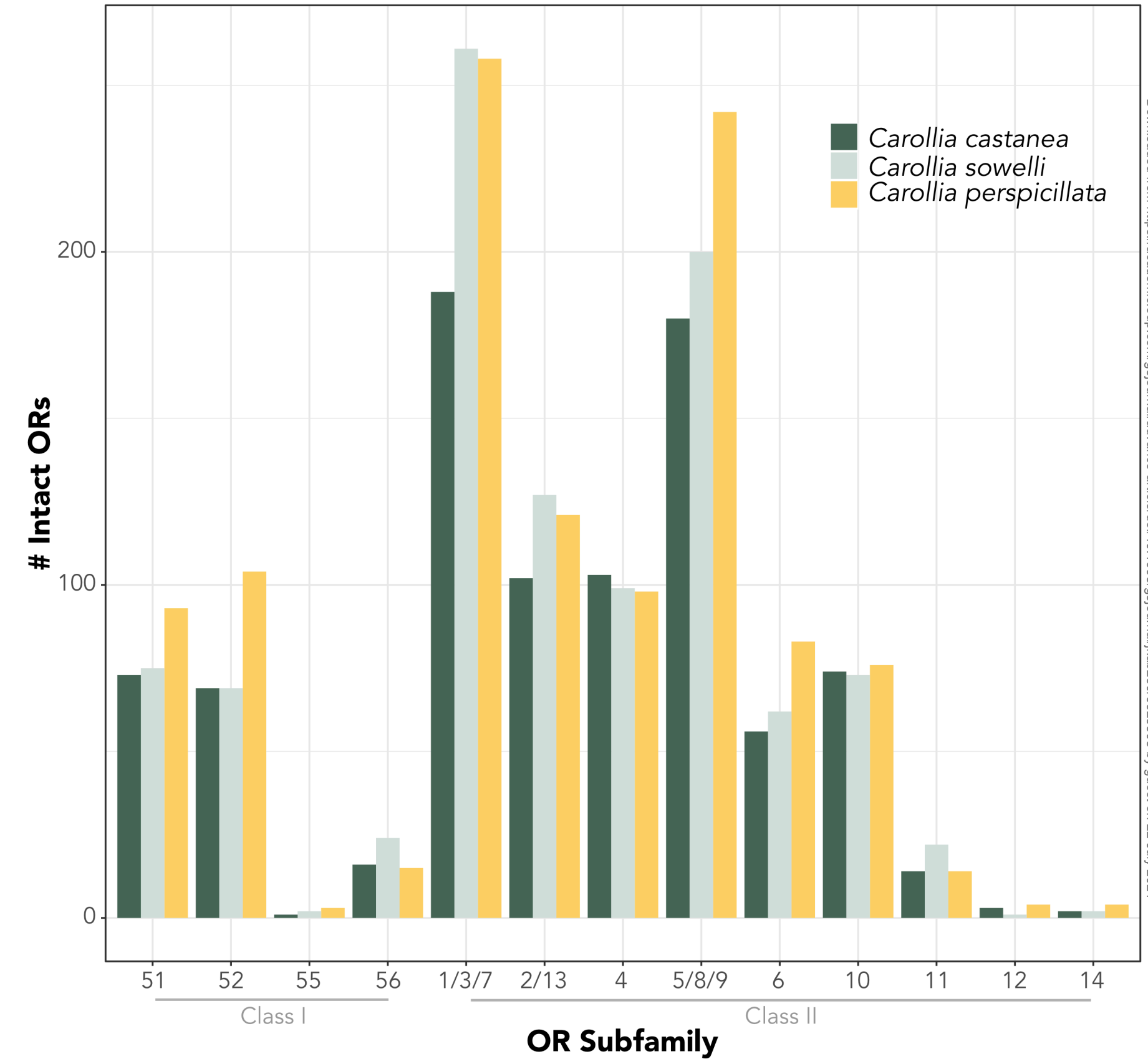
511  
512 **Figure 2.** Example of gene trees and orthogroups. Inferred codon-model gene tree for *olfactory*  
513 *receptor* subfamily 10 (*OR10*). Each colored circle represents an *OR* gene colored by species.  
514 Larger clusters of genes are orthogroups or clusters of orthogroups that include orthologous  
515 genes and paralogs. The window inset indicates an example of an inferred orthogroup and the  
516 calculated  $H'$  for a single orthogroup.

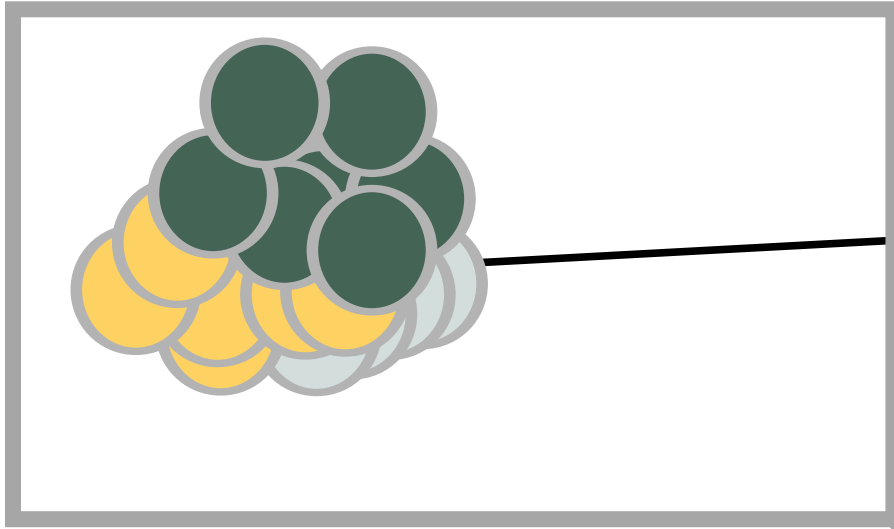
517  
518 **Figure 3.** (A) Abundance profiles for each species (*C. castanea*: evergreen; *C. sowellii*: mint  
519 green; *C. perspicillata*: gold). Each bar denotes a unique orthogroup and the same orthogroup  
520 index is consistent across species for comparison; The Shannon Diversity Index ( $H$ ) is  
521 presented for each species is pooled across all genes, not individual subfamilies. All Shannon  
522  $H'$  reports are in natural log scale. (B) Abundance profiles for each species for each *OR* gene  
523 subfamily. Each bar denotes a unique orthogroup and the same orthogroup index is consistent  
524 across species for comparison. The Shannon Diversity Index ( $H$ ) is presented for each  
525 “community” of genes. *OR55*, *OR12*, and *OR14*, which had only a few genes in each species,  
526 are not shown. The dashed line is the estimated threshold for orthogroups in which exceptional  
527 diversity observed. (C) Distribution of Shannon Diversity Indices ( $H$ ) for each *olfactory receptor*  
528 (*OR*) gene subfamily for each species. (D) Posterior distribution of diversity for each species  
529 after correcting for phylogeny and subfamily variance. (E) Posterior distribution of diversity for  
530 each *OR* subfamily after correcting for phylogeny and species variance. For Panels (D-E),  
531 central black lines represent the median of the posterior, shaded regions indicated 50% of the  
532 credible interval, and 90% of the interval is shown here for clarity.

**A)**



**B)**





$N = 3$  species

$S = 17$  genes

$p1_{castanea} = 7/17$

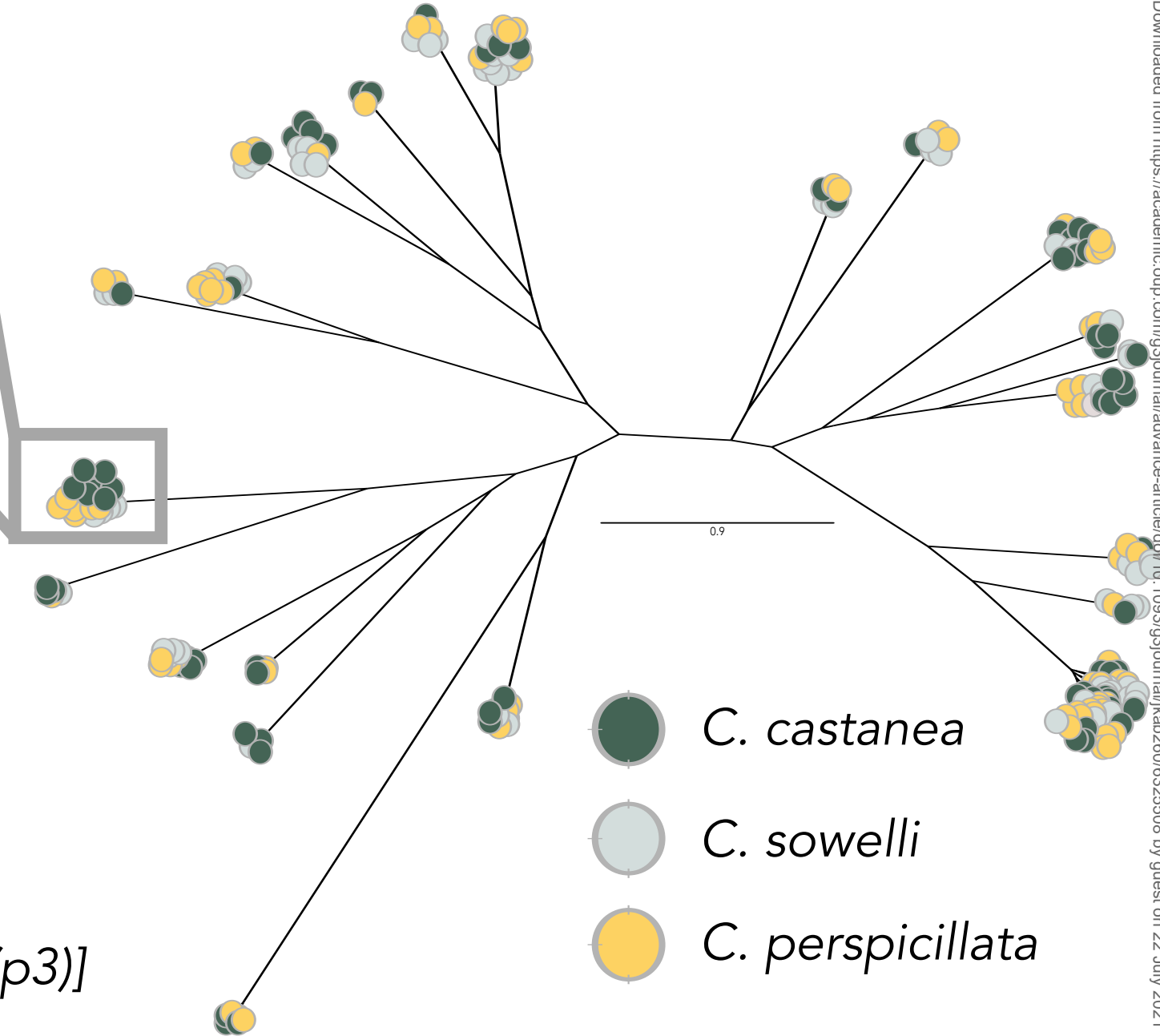
$p2_{sowellii} = 4/17$




$p3_{perspicillata} = 6/17$

$$H' = - \sum_{i=1}^N [(p_i) * \ln(p_i)]$$

$$H' = - [p1 * \ln(p1) + p2 * \ln(p2) + p3 * \ln(p3)]$$

$$H' = 1.07$$



-  *C. castanea*
-  *C. sowelli*
-  *C. perspicillata*

